A <sup>11</sup>B AND <sup>13</sup>C NMR DETERMINATION OF THE STRUCTURES OF BORATE COMPLEXES OF PENTOSES AND RELATED SUGARS

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Abstract: A <sup>11</sup>B NNR study demonstrated that the four pentoses, like hexoses, form concurrently 1:1 and 1:2 borate complexes in aqueous solution, contrary to earlier reports. It also showed that in both species, the borate ion was bound to a vicinal diol site. The corresponding stability constants were determined by a potentiometric method. The structures of most 1:2 complexes were precised by <sup>13</sup>C NNR spectroscopy, since the carbon atoms which bear the chelating diol group were clearly deshielded and had increased <sup>1</sup>J<sub>CH</sub> values. The results were rationalised by grouping the sugars in series of related configurations. All sugars were complexed in furshose form. Those of the <u>arabinogalacto-fructo</u> series and of the <u>xylo-gluco-sorbo</u> series gave the same type of complex involving the anomeric hydroxyl group and the nearest ring CHOH. The very stable 1:2 complex of D-ribose was shown to be a mixture of two species in constant ratio, borate being bound either at C-1,C-2 or at C-2,C-3. The same result was obtained with the sugars of the <u>lyxo-manno-tageto</u> series, which also possessed two <u>cis</u> CHOH groups.

In aqueous solution, borate ions react with polyhydroxy compounds to form ionized complexes which have received several applications in carbohydrate chemistry, for example in the chromatographic determination of mixtures of sugars using anion-exchange resins<sup>1,2</sup> and for possible protection<sup>3</sup> against ionizing radiations. Moreover, borate ions are known to catalyze<sup>4</sup> the isomerization of aldoses to ketoses in alkaline media.

The very stable borate complexes of ketoses and alditols (especially D-mannitol<sup>5</sup>) have been the subject<sup>8,7</sup> of numerous studies. The classical scheme of complex formation is :

 $B^{-} + L \iff BL^{-} \qquad \beta_1 - (BL^{-})/(B^{-})(L)$  $B^{-} + 2 L \iff BL_2^{-} \qquad \beta_2 - (BL_2^{-})/(B^{-})(L)^2$ 

in which B stands for the borate ion and  $BL_h$  for the 1:n complexes formed with a ligand L.

In contrast, much less is known about the weaker aldose complexes. Several papers report conflicting results<sup>6-11</sup> on the stability constants  $\beta_n$  and even on the compositions of pentose complexes. In some cases, the selective formation of 1:1 or 1:2 species was claimed<sup>10</sup> to depend on the configuration of the ligand. We therefore undertook a comprehensive study of the stability of borate complexes of simple sugars, using the improved potentiometric method<sup>11</sup> recently developed for the study of oligosaccharide complexes.

The structures of borate complexes have been discussed from comparisons of thermodynamical data<sup>10-12</sup> within series of ligands possessing related configurations. Recently, a combination of <sup>11</sup>B and <sup>13</sup>C NMR spectroscopies has proved to be a powerful tool for the elucidation of the nature of complex formation between borate and polyhydroxy ligands<sup>13-13</sup> in aqueous solution. In the present study, these methods were applied to the pentose series in order to relate the stabilities of the complexes to the structures of the sugars. When available, related hexoses (aldoses or ketoses) were studied for comparison. Our main goals were the determination of the form, pyranose or furanose, in which the sugar was complexed and the identification of the chelating hydroxyl groups.

## EXPERIMENTAL

All the sugars were commercial compounds (Fluka or Aldrich) of the highest available grade, used without further purification. The preparation of borax solutions and the determination of stability constants have been previously described.<sup>11</sup> <sup>11</sup>B NMR.- The spectra were recorded at 28.88 MHz using a BRUKER WH 90 C multinuclei spectrometer. The temperature was about 26°C. The sample concentrations were 1 mol.1<sup>-1</sup> (borax) and 0.5 mol.1<sup>-1</sup> (sugar) in D<sub>2</sub>O (1 cm<sup>3</sup>). The chemical shifts have been measured by the substitution method<sup>16</sup>, with boric acid as reference.

<sup>13</sup>C NMR. - The measurements were made at 20.11 MHz using a BRUKER WP 80 spectrometer. The samples were those prepared for the <sup>11</sup>B NMR study and were used within a week (the spectra did not vary during this interval). The temperature was about 28°C. The spectra were referenced externally to the C<sub>6</sub>D<sub>6</sub> signal at 128 ppm. For decoupled spectra, a pulse angle of 90° and a relaxation delay of 3 s were

For decoupled spectra, a pulse angle of  $90^{\circ}$  and a relaxation delay of 3 s were used. The proton-coupled spectra were obtained with nuclear Overhauser enhancement by gating off the decoupler during data acquisition. The resolution was improved by applying an apodisation function to the free-induction signal before Fourier transformation.

## RESULTS AND DISCUSSION

<sup>11</sup><u>B NNR.</u> The principle<sup>13</sup> lies on the facts that hydroxyl exchange between boric acid and borate is fast on the <sup>11</sup>B time scale and gives only one mean signal for uncomplexed boron. On the contrary, boron exchange between boric acid and its complexes is slow on the <sup>11</sup>B time scale and a separate signal can be observed for each species.

Table 1 displays <sup>11</sup>B chemical shift data for borate complexes of a series of ketoses and aldoses. The signals corresponding to the 1:1 complexes ( $\delta \approx -13$  ppm, sharp) and the 1:2 complexes ( $\delta \approx -8.5$  ppm, broad) could be assigned in agreement with previous studies<sup>13-15</sup>. The values of the <sup>11</sup>B chemical shifts in both series of complexes, which varied little with the nature of the sugar, allowed us to conclude that the chelating site was always a vicinal diol group, forming a five-membered ring with the boron atom. Complexes of  $\beta$ -diol groups would have given<sup>13</sup> signals at  $\delta < -18$  ppm.

The large differences in the linewidths (Table 1) of the two series of complexes can be attributed to their different molecular radii. Accordingly, the signals given by the disaccharide lactulose were found to be particularly broad.

Stability constants. Despite several potentiometric studies<sup>8-10</sup> which claimed that pentoses form only one borate complex, with 1:1 or 1:2 stoichiometries depending on the sugar

TABLE 1 -	11 <b>B</b>	chemical	shifts	and	linewidths	for	borate	complexes	of	sugars
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	δ (1	opm)*		ע∆	(Hz) لك		
ligand	BL	BL		BL"	BL <sub>2</sub>		
D-lyxose	-12.7	-8.1	:	. 32	48		
D-xylose	-12.8	-8.3	:	70	≈ 140		
D-arabinose	-13.1	-9.1	:	24	80		
D-ribose	-12.9	-7.9	:	36	57		
D-galactose	-13.0	-8.8	:	15	<b>≈</b> 110		
D-glucose (*)	-12.9	-8.4	:	64	<b>≈</b> 150		
D-mannose	-12.4	-8.0	:	48	60		
D-tagatose	-13.0	-8.8	:	ND	≈ 150		
L-sorbose	-12.5	-8.5	:	45	81		
D-fructose (*)	-12.9	-8.9	:	ND	70		
lactulose	-12.3	-8.3	:	<b>≈ 1</b> 00	<b>≈</b> 140		

<sup>a</sup> chemical shifts with boric acid as external reference. ND : not determined. t  $\approx 26^{\circ}$ C ; concentrations : borax 0.5 mol.1<sup>-1</sup>; ligand 1 mol.1<sup>-1</sup> (\*) literature<sup>15</sup> values for BL<sub>2</sub><sup>-</sup> : -8.7 ppm (D-glucose), -9.5 ppm (D-fructose).

TABLE 2 - Overall stability constants<sup>e</sup> of borate complexes of sugars

	This work					literature (ref)			
ligand		$\log \beta_1$		$\log \beta_2$		$\log \beta_1$	$\log \beta_2$	•	
D-ribose		2.26	4.80		:	ND	7.20	(9)	
					:	ND	3.01	(17)	
D-xylose		1.95	3.74		:	ND	4.01	(8)	
D-lyxose		2.15	3.39		:	4.82	ND	(9)	
D-arabinose		2.14	2.99		:	ND	3.28	(8)	
					:	2.19	3.02	(7)	
L-arabinose		2.10	2.97		:	ND	3.55	(8)	
					:	2.11	2.83	(6)	
L-rhamose	ь	1.58	2.14		 :	ND	2.61	(8)	
deoxy-2-ribose	с	0.92	1.60		:	3.85	ND	(10)	
D-glucose		1.80	3.05	d				• • • • • • • •	
D-galactose		1.99	2.56	d					
D-mannose		2.01	2.74	đ					
D-fructose		2.82	4.97	d	· · · · · :	••••••		• • • • • • •	
L-sorbose		< 3.5	5.75		:	ND	5.80	(8)	

<sup>a</sup> determined by potentiometry<sup>11</sup>; accuracy :  $\log \beta_1 \pm 0.08$ ;  $\log \beta_2 \pm 0.08$ t - 25°C; I - 0.1 mol.1<sup>-1</sup> (KCl); ND : not determined.

b : 6-deoxy-L-mannose ; c : 2-deoxy-D-<u>ervthro</u>-pentose
d : taken from ref.11, in which literature results were discussed.

configuration, the <sup>11</sup>B NMR results presented above show unambiguously that both 1:1 and 1:2 species are formed concurrently in every borate-sugar system, including those of pentoses. Accordingly, other potentiometric procedures<sup>6,7,11</sup> were shown to allow the determination of both stability constants.

An exception was found in this work for L-sorbose. Although this ketose formed two complexes detected in the <sup>11</sup>B NMR study (Table 1) the stability constant  $\beta_1$  of the BL complex could not be determined by potentiometry, probably because, at low concentrations of ketose, the reaction with borate was slow and precluded the obtention of accurate pH values. Nevertheless, we performed simulated computations which showed that the log  $eta_1$  value could not be > 3.5, since such a stable 1:1 complex would have been detected. The failure of the method to detect the 1:1 species is therefore a consequence of the large stability difference between the 1:1 and the 1:2 species.

For all other compounds investigated, values of the stability constants  $\beta_1$  and  $\beta_2$  of the 1:1 and 1:2 complexes were determined (Table 2) by our original potentiometric procedure, the characteristics of which have been discussed<sup>11</sup> elsewhere. As before<sup>11</sup> the slow equilibration of borate-sugar mixtures was attributed to sugar reactions like mutarotation or pyranose-furanose interconversions. Some results are now commented.

- Both ketoses, D-fructose and L-sorbose, formed 1:2 complexes of higher stability than any aldose. Pentose complexes were also found more stable than those of  $C_6$  aldoses. It gives the stability sequence of borate complexes :  $C_6$  ketoses >  $C_5$  aldoses >  $C_6$  aldoses.

- We were unable to find any significant difference between the stability constants of the complexes of both arabinose emantiomers, which seems more logical than the contrary result of another paper<sup>8</sup>. Arabinose complexes were studied earlier and our results agree nicely with those of two other studies<sup>6,7</sup> which used a similar potentiometric method.

- Our values for pentose complexes are very different from those of other workers<sup>8-10</sup> who used a differential potentiometric method based on measurements of the buffer capacity of the solutions. In such studies, the oversimplifying assumption that only one complex was formed may have biassed the calculations of the stability constants.

- The remarkable stability of the 1:2 complex of D-ribose compared to other pentoses<sup>10</sup> is confirmed. However, earlier papers<sup>10,17</sup> reported very different values for its stability constant. A possible reason for the discrepancy could be that D-ribose formed a mixture of two 1:2 complexes, as is shown below. Besides, we found that the values calculated from several experiments were perfectly reproducible.

- The involvement of the C-2 hydroxyl of D-ribose in complex formation is attested by (i) the much lower stabilities of the homologous complexes of deoxy-2-D-ribose and (ii) the lower stability of the 1:2 D-arabinose complex, in which the C-2 configuration is reversed.

<sup>13</sup><u>C.NMR.</u> Voelter and coll.<sup>16</sup> seem to have been the first to use <sup>13</sup>C NMR in the study of borate complexes of carbohydrate derivatives. These authors determined the variations of the chemical shifts for each carbon of the ligand and observed that those bearing the complexing hydroxyl groups were the most deshielded. A similar procedure was applied to the borate complexes of polyols<sup>15</sup> and fructose<sup>15,19</sup>.

Since in our experimental conditions, the proportions of the 1:1 complexes were generally low, the investigations were limited to solutions prepared by mixing the sugars and borax in ligand/borate ratio = 2. The solutions were thus acidic and, because of their higher stabilities, BL,<sup>-</sup> complexes were the prevailing species.

In addition, we measured the direct coupling constants <sup>1</sup>J<sub>CH</sub> for all carbons, except when the spectra were too complicated. As expected, they were found to increase for the carbons bearing the chelating hydroxyls, and remained almost unchanged for other carbons.

The study was rationalized by classifying the sugars into series (Table 3) of analogous configurations at C-1 to C-4 (aldoses) or C-2 to C-5 (ketoses). In each series, the <sup>13</sup>C signals of these carbons had similar values<sup>20-24</sup>, in pyranose forms as well as in furanose

forms. Moreover, we observed that the spectra of the  $BL_2^-$  complexes in a given series were also very similar. Consequently, the variations of chemical shifts should be the same within a series, suggesting that the corresponding complexes had identical structures.

TABLE 3 - List of sugars forming series of analogous configurations.

\_\_\_\_\_

arabino	ribo	lyxo	xylo
D-arabinose	D-ribose	D-lyxose	D-xylose
CH2OH-1-1-CHO	сн2он-1-1-сно	CH20H-1-CHO	сн <sub>2</sub> он 1 сно
D-galactose	D-talose(*)	D-mannose	D-glucose
CH2OHCHO	сн <sub>2</sub> он-1-1-Сно	сн <sub>2</sub> он <sub>г т</sub> сно	CH2OH-1-1-CHO
D-fructose	D-psicose(*)	D-tagatose	D-sorbose
сн <sub>2</sub> он-1-1-со-сн <sub>2</sub> он	CH20H T T CO-CH20H	сн <sub>2</sub> он <u>т</u> со-сн <sub>2</sub> он	сн <sub>2</sub> он 1 го-сн <sub>2</sub> он

(\*) sugars not investigated

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a) complexes of D-fructose and derivatives

Two <sup>13</sup>C NMR studies<sup>15,19</sup> of the  $BL_2^-$  complex of D-fructose established the existence of a bis (2,3- $\beta$ -furanose) complex, although the uncomplexed sugar existed mainly in the  $\beta$ pyranose form. Additional NMR evidence was provided by a comparison<sup>15</sup> with a disaccharide (isomaltulose) in which the fructose moiety was blocked in the  $\beta$ -furanose form, and one of us showed by a potentiometric study<sup>11</sup> that fructose-containing disaccharides meeded free hydroxyls at C-2 and C-3 to give borate complexes as stable as those of D-fructose.

We began this work by comparing the  $BL_2^-$  complexes of D-fructose and lactulose (4-0- $\beta$ -D-galactopyranosyl- $\beta$ -D-fructofuranose). The results (Table 4) gave conclusive evidence that in lactulose, the borate anion was not bound to the galactose ring, but to the C-2 and C-3 hydroxyls of the  $\beta$ -fructofuranose ring. The corresponding variations of chemical shifts were found very close to those observed for D-fructose itself.

b) complexes of D-arabinose and related sugars

As indicated above, D-arabinose and D-galactose have configurations related to that of D-fructose. These aldoses exist in solution as the four possible forms :  $\alpha$  and  $\beta$  pyranoses (>97%) and furanoses (<3%). After borax addition, the spectra indicated the presence of only one 1:2 complex for both sugars. The complexes could not involve the ligands in either pyranose form, since too many carbons would be strongly deshielded (especially C-4) contrary to the fact that borate is chelated by two hydroxyls only. An example of calculation is given (Table 5) for D-arabinose. The analogy with the D-fructose complex spectrum (Table 4) suggested instead that all three sugars were complexed in furanose form. One of these forms ( $\alpha$ -D-arabinofuranose and  $\beta$ -D-galactofuranose) could be eliminated because the calculated deshielding effects (Table 5) would have been very small. Besides, assuming the ligands to be  $\beta$ -D-arabinofuranose and  $\alpha$ -D-galactofuranose, calculations of the variations of chemical shifts gave values close to those obtained for D-fructose and lactulose. The most deshielded TABLE 4 - <sup>13</sup>C chemical shifts in BL<sub>2</sub> borate complexes of lactulose  $(4-0-\beta-D-\text{galactopyranosyl}-\beta-D-\text{fructofuranose})$  and  $\beta$ -D-fructofuranose.

moiety = L	carbon position							
$\beta$ -D-galactopyranosyl	1	2	3	4	5	6		
$\delta$ (ppm) in L	101.9	71.8	73.6	69.7	76.4	62.2		
$\delta$ (ppm) in BL <sup>2</sup>	101.9	71.9	73.4	70.0	76.4	62.2		
$\beta$ -D-fructofuranosyl	1	2	3	4	5	6		
δ (ppm) in L	63.7	103.8	75.9	85.1	81.1	64.0		
δ (ppm) in BL <sub>2</sub>	65.1	112.2	83.2	86.7	85.0	63.2		
Δδ (ppm)	1.4	8.4	7.3	1.6	3.9	-0.8		
β-D-fructofuranose	1	2	3	4	5	6		
δ (ppm) in L	63.9	102.5	76.6	75.7	81.7	63.3		
δ (ppm) in BL	64.4	112.1	84.9	78.3	86.0	62.3		
Δδ (ppm)	0.5	9.6	8.3	2.6	4.3	-1.0		
Δδ (ppm) litt <sup>13</sup>	1.5	9.2	8.0	2.7	4.6	-0.3		
Δδ (ppm) litt <sup>19</sup>	-0.5	9.2	8.2	2.8	5.0	ND		

Assignments for ligands from ref 20-24. ND : not determined.

TABLE 5 - <sup>13</sup>C chemical shifts and coupling constants  ${}^{1}J_{\rm CH}$  of aldoses having the <u>arabino</u> configuration and of their  ${\rm BL}_2^-$  borate complexes.

ligand - L	carbon position								
D-arabinose	1	2	3	4		5			
δ (ppm) in BL <sub>2</sub>	104.3	83.9	78.0	86.7		63.2			
$\delta$ (ppm) in L(β-fu)	95.2	76.8	75.7	82.8		63.5			
Δδ (ppm)	9.1	7.1	2.3	3.9		-0.3			
δ (ppm) in L(α-fu)	102.1	82.1	76.8	82.8		62.8			
Δδ (ppm)	2.2	1.8	1.1	3.9		0.4			
δ (ppm) in L(α-py)	97.8	73.1	73.7	69.6		67.4			
Δδ (ppm)	6.5	10.8	4.3	17.1		-4.2			
δ (ppm) in L(β-py)	93.7	69.7	69.7	69.7		63.5			
Δδ (ppm)	10.6	14.2	8.3	17.0		-0.3			
D-galactose	· 1	2	3	4	5	6			
δ (ppm) in BL <sub>2</sub>	103.8	84.0	77.9	85.5	71.9	63.9			
δ (ppm) in L(α-fu)	96.0	77.4	75.3	82.3	72.7ª	63.5			
Δδ (ppm)	7.8	6.6	2.6	3.2	-0.8	0.4			
δ (ppm) in L(β-fu)	102.1	81.9	76.6	83.1	72.7	63.8			
Δδ (ppm)	1.7	2.1	1.3	2.4	-0.8	0.1			

Aldose assignments from ref 20-24. \* uncertain assignment.

carbons were those of the <u>cis</u>-diol system involving the anomeric hydroxyl at C-1 and its neighbour at C-2. It is of interest to note that  $\beta$ -D-arabinose was also reported<sup>23</sup> to react in furanose form with B<sub>1</sub>O<sub>2</sub> in (CD<sub>2</sub>),SO.

c) complexes of D-ribose

Unlike other pentoses, D-ribose could not be compared to structurally related sugars as they are not commercially available. The <sup>13</sup>C NMR spectrum of D-ribose indicates that all four forms ( $\alpha$  and  $\beta$  pyranoses and furanoses) are present at equilibrium. After the addition of borax, all the signals of free D-ribose almost disappeared and 10 new resonances appeared,

4	£	7	5
	-	,	-

1	TABLE 6 -	13C	chemica	l shif	ts and	coupling	constants	JCH
of	D-ribose	and	of its	two BI	, bor	ate compl	GXCS.	••••

ligand	carbon position								
D-ribose - L	1	2 3		4	5				
δ (ppm) in complex R <sub>1</sub>	103.0	77.2	72.2	79.9	61.7				
<sup>1</sup> J <sub>CH</sub> (Hz) in complex R <sub>1</sub>	180	154	146	148	142				
δ (ppm) in L(α-fu)	97.4	72.2	71.1	84.2	62.5				
Δδ (ppm)	5.6	5.0	1.1	-4.3	-0.8				
<sup>1</sup> J <sub>CH</sub> (Hz) in L(α-fu)	169.5	≈ 142	≈ 142	≈ 142	141				
ΔJ (Hz)	10.5	12	4	6	1				
δ (ppm) in complex R <sub>2</sub>	104.3	83.8	78.6	88.3	64.2				
<sup>1</sup> J <sub>CH</sub> (Hz) in complex R <sub>2</sub>	170	156	154	142	140				
$\delta$ (ppm) in L(β-fu)	102.1	76.4	71.7	83.6	63.7				
$\Delta\delta$ (ppm)	2.2	7.4	6.9	4.7	0.5				
<sup>1</sup> J <sub>CH</sub> (Hz) in L(β-fu)	169.5	142.5	145.5	142.5	141				
$\Delta J$ (Hz)	0.5	13.5	8.5	-0.5	-1				
δ (ppm) in L(α-py)	94.6	71.1	70.3	68.4	64.2				
<sup>1</sup> J <sub>CH</sub> (Hz) in L(α-py)	≈ 169	142	142	144	142.5				
δ (ppm) in L(β-py)	94.9	72.2	70.3	68.4	64.2				
<sup>1</sup> J <sub>CH</sub> (Hz) in L(β-py)	160	142.5	142	144	141				

Assignments for D-ribose from ref 20-24.

which could be attributed (Table 6) to two different 1:2 borate complexes formed in unequal ratio, denoted hereafter  $R_1 (\approx 70$ %) and  $R_2 (\approx 30$ %) on the basis of signal intensities. It should nevertheless be recalled that only one signal ( $\delta = -7.9$  ppm) in the <sup>11</sup>B spectrum could be attributed to these 1:2 species, indicating that both were spirocomplexes in which boron was chelated by two  $\alpha$ -diol groups.

In the R<sub>1</sub> complex spectrum, the anomeric carbon at 103 ppm and the CHOH group at 77.2 ppm showed increased  ${}^{1}J_{CH}$  values ( $\Delta J > 10$  Hz), whatever the form of the free ligand. Thus we deduced that the anomeric hydroxyl was chelated and that the second chelated hydroxyl was located at C-2. It excluded that the ligand could be in the  $\beta$ -furanose form, in which C-1 and C-2 would be deshielded by 1 ppm only. If the R<sub>1</sub> complex involved a pyranose form, the variations of chemical shifts (Table 6) would be  $\approx$  8 ppm at C-1 and  $\approx$  6 ppm at C-2, but the 79.9 ppm signal would correspond to a  $\Delta\delta$  value of  $\approx$  10 ppm at C-3 or C-4, which is not reasonable. Thus in complex R<sub>1</sub>, the ligand had necessarily the  $\alpha$ -D-ribofuranose structure, with the boron atom chelated by the C-1,C-2 <u>cia</u>-diol system.

Complex  $R_2$  displayed a <sup>13</sup>C NMR spectrum with 3 signals above 80 ppm, including the anomeric carbon signal. Besides, the direct coupling constants of two CHOH groups were increased by nearly 10 Hz. Since only two carbons can be complexed, it implicated that the signal of the C-l anomeric carbon should not be modified. It excluded that the ligand could be in any pyranose form, in which the anomeric carbon would be strongly deshielded.

The variations of chemical shifts were thus compared (Table 6) for both furanese forms. Since  $\Delta J \approx 0$  at C-1, the anomeric carbon must be little deshielded, which would not be possible if the ligand was in a-furanese form. On the contrary, it agreed perfectly with the assumption of the  $\beta$ -furanose form, showing two equally deshielded carbons at C-2 and C-3. The finding that  $R_2$  was a bis (2,3- $\beta$ -furanose) chelate was not unprecedented, since the borate complex<sup>18</sup> of adenosine (a D-ribofuranose nucleoside) had a similar structure.

d) complexes of D-xylose and related sugars

Makkee and coll.<sup>13</sup> compared the BL, complexes of D-glucose and D-fructose, but could not find clear signals due to the complex in the <sup>13</sup>C spectrum of D-glucose. By analogy with other ligands, they suggested that D-glucose should form a bis (1,2-a-furanose) complex. Pursuing our investigations, we studied the series of D-glucose, D-xylose and L-sorbose. For the three uncomplexed compounds, the  $^{13}$ C spectra show the presence of only the  $\alpha$ - and  $\beta$ pyranose forms at equilibrium (no  $\beta$ -form for L-sorbose). After the addition of borax, we could identify for each compound 5 (pentose) or 6 (hexose) new signals which were attributed to the BL2 complexes. The three spectra show striking analogies and probably correspond to complexes of closely related structures.

In the aldose complexes (Table 7), two carbons displayed increased  ${}^{1}J_{CH}$  values ( $\approx$  176 Hz for C-1 and  $\approx$  153 Hz for a CHOH group), whatever the assumed form of the free ligand. The complexing  $\alpha$ -diol group of aldoses was thus situated at C-1,C-2. For L-sorbose, only one CHOH group showed an increased  ${}^{1}J_{CH}$  value, because the C-2 anomeric carbon bore no hydrogen. The high  $\delta$  value obtained for C-2 showed nevertheless that this carbon was deshielded. Since the CH\_OH group at C-1 was obviously not complexed, the  $\alpha$ -diol chelating group of L-sorbose was

ligand - L		carbon position							
L-sorbose	1	2	3	4	5		6		
δ (ppm) in BL <sub>2</sub> <sup>-</sup> (a) <sup>1</sup> J <sub>CH</sub> (Hz) in BL <sub>2</sub> <sup>-</sup>	65.0 141	111.5 	84.5 153	77.1 145.5	81.5 144		60.9 142.5		
δ (ppm) in L(α-fu) Δδ (ppm)	64.3 0.7	102.5 9.0	77.0 7.5	76.2 0.9	78.6 2.9		61.6 -0.7		
$\delta$ (ppm) in $BL_2$ (b)	65.0	111.5	84.5	81.5	77.1		60.9		
δ (ppm) in L(α-py) Δδ (ppm) <sup>1</sup> J <sub>CH</sub> (Hz) in L(α-py) ΔJ (Hz)	64.7 0.3 142 -1	98.3 13.2	71.5 13.0 142 11	74.9 6.6 145 -1	70.6 6.5 144 1.5		62.9 -2.0 144 -1.5		
D-xylose		1	2	3	4		5		
<sup>1</sup> J <sub>CH</sub> (Hz) in BL <sub>2</sub> <sup>-</sup> $\delta$ (ppm) in BL <sub>2</sub> <sup>-</sup> $\delta$ (ppm) in L( $\alpha$ -fu) $\Delta\delta$ (ppm)		177 103.4 96.0 7.4	156 83.8 77.8 6.0	149.5 77.0 76.2 0.8	145.5 80.5 79.3 1.2		144 61.0 61.6 -0.6		
D-glucose		1	2	3	4	5	6		
<sup>1</sup> J <sub>CH</sub> (Hz) in BL <sub>2</sub> $\delta$ (ppm) in BL <sub>2</sub> $\delta$ (ppm) in L( $\alpha$ -fu) $\Delta\delta$ (ppm)		175.5 103.7 97.0 6.7	153 83.4 77.7 5.7	138 76.9 76.6 0.3	141 79.2 78.8 0.4	139.5 69.8 70.7 -0.9	141 64.9 64.2 0.7		

TABLE 7 - <sup>13</sup>C chemical shifts and coupling constants  ${}^{1}J_{CH}$  of sugars having the <u>xylo</u> configuration and of their  $BL_{2}^{-}$  borate complexes.

L-sorbose assignments from ref 21. The spectra of D-xylose and D-glucose were assigned by comparison with those<sup>21</sup> of the corresponding furanesides.

(a) definitive assignment. (b) attempted assignment, found incorrect (see text).

borne by carbons C-2 and C-3.

We excluded that the ligands could be in  $\beta$ -furances or  $\beta$ -pyrances forms because the complexing hydroxyls would be <u>trans</u>, contrary to all other known borate-sugar complexes which were formed from <u>cis</u>-diol systems. Thus the variations of chemical shifts were calculated for L-sorbose (Table 7) assuming the ligand to be in the  $\alpha$ -furances or  $\alpha$ -pyrances forms, but the results did not allow to exclude either hypothesis. In both cases, C-2 and C-3, which were assigned unambiguously, were the most deshielded carbons. In contrast, the assignments of C-4 and C-5 had to be reversed according to the chosen hypothesis, so that their  $\Delta\delta$  values could correspond to uncomplexed hydroxyls. In order to get a definitive assignment, we studied the compound forming the more stable complex, L-sorbose, using a 500 MHz spectrometer. The <sup>1</sup>H chemical shifts were assigned and related to the <sup>13</sup>C signals through a two-dimensional (2D) heterocorrelated experiment. The results<sup>26</sup> showed unambiguously that the 81.5 ppm signal was due to C-5, proving that the ligand was indeed  $\alpha$ -L-sorbofurance.

The assignments for the D-xylose and D-glucose complexes were made by analogy with those for L-sorbose (Table 7), showing analogous variations of chemical shifts. The chemical shifts for the uncomplexed sugars in furanose form were not found in literature and had to be estimated from data<sup>21</sup> on methyl furanosides.

e) complexes of D-lyxose and related sugars

The sugars D-lyxose, D-mannose and D-tagatose formed weak  $BL_2^-$  complexes and the  $^{13}C$ spectra of their mixtures with borax showed that much uncomplexed ligand remained. The addition of borax to D-tagatose (initially present as the  $\alpha$ -pyranose) caused the appearance of more than six new signals. Two of them, at  $\delta = 111.1$  and 103.6 ppm, could be assigned to anomeric carbons, suggesting the formation of two different 1:2 complexes,  $T_1$  and  $T_2$ , in nearly equal ratio, as shown by the signal intensities. Because the spectrum of complexed D-tagatose displayed too many signals, all of similar intensities, we decided to make the assignments by comparison with the spectra of the other sugars of the series.

When D-lyxose was complexed, seven new signals appeared in the <sup>13</sup>C spectrum, in agreement with the formation of two complexes. Five signals had the highest intensities and were attributed to a prevailing complex (60%) noted  $L_1$  (Table 8). The missing signals of the other complex,  $L_2$  (40%) were admitted to overlap with  $L_1$  signals. Similar results were found with D-mannose, for which six signals were attributed (Table 8) to a main complex  $M_1$ analogous to  $L_1$ . We could not resolve the spectrum enough to detect other signals corresponding to a  $M_2$  complex analogous to  $L_2$ .

As in the case of D-ribose, the <sup>11</sup>B spectra of the three complexed sugars showed only one signal ( $\delta \approx -9$  ppm) for the two 1:2 species, which were therefore considered as spirocomplexes in which boron was chelated by two  $\alpha$ -diol groups.

The structures of the M<sub>1</sub> and L<sub>1</sub> complexes were determined by remarking that two CHOH groups gave signals with  ${}^{1}J_{CH} \approx 152$  Hz instead of  $\approx 142$  Hz in any form of the free ligand, and were thus bound to borate. Consequently, the anomeric carbons could not be chelating

TABLE 8 -  ${}^{13}C$  chemical shifts and coupling constants  ${}^{1}J_{CH}$  of sugars having the lyxo configuration and of their BL borate complexes.

ligand - L	carbon position								
D-lyxose		1	2	3	4		5		
δ (ppm) in complex L <sub>1</sub> <sup>1</sup> J <sub>CH</sub> (Hz) in complex L <sub>1</sub>		103.0 173(a)	83.5 153	77.4 152	82.1 142		<b>61</b> .6 142		
δ (ppm) in L(α-fu) Δδ (ppm)		101.5 1.5	77.8 5.7	71.9 5.5	80.7 1.4		61.9 -0.3		
δ (ppm) in complex L <sub>2</sub> <sup>1</sup> J <sub>CH</sub> (Hz) in complex L <sub>2</sub>		103.0 173(a)	77.4 152	69.6 144	75.7 ND		61.6 142		
$\delta$ (ppm) in L(β-fu) (b) Δδ (ppm)		96.3 6.7	73.2 4.2	71.0 1.4	82.1 -6.4		62.7 -1.1		
δ (ppm) in L(α-py) δ (ppm) in L(β-py)		95.2 95.2	71.2 71.2	71.7 73.6	68.6 67.7		64.2 65.2		
D-mannose		1	2	3	4	5	6		
δ (ppm) in complex M <sub>1</sub> <sup>1</sup> J <sub>CH</sub> (Hz) in complex M <sub>1</sub>		103.3 173(a)	83.4 152	77.0 152	81.0 144	75.5 144	64.6 142		
δ (ppm) in L(α-fu) (b) Δδ (ppm)		102.7 0.6	77.9 5.5	72.5 4.5	80.5 0.5	70.6 -4.9	64.5 0.1		
$\delta$ (ppm) in L( $\beta$ -fu) (b)		96.6	73.1	71.2 <sup>c</sup>	80.7	71.0 <sup>c</sup>	64.4		
D-tagatose	1	2	3	4	5		6		
$\delta$ (ppm) in complex T <sub>1</sub>	62.3 <sup>c</sup>	103.6	83.6	78.0	81.5		61.7 <sup>c</sup>		
δ (ppm) in L(α-fu) Δδ (ppm)	?	105.7 -2.1	77.6 6.0	71.9 6.1	80.0 1.5		?		
$\delta$ (ppm) in complex T <sub>2</sub>	62.3 <sup>c</sup>	111.1	78.0	70.6	74.7		61.7°		
$\delta$ (ppm) in L(β-fu) Δδ (ppm)	63.5 -1.2	103.3 7.8	71.7 6.3	71.8 -1.2	80.9 -6.2		61.9 -0.2		

(a) intermediate value for the two overlapping signals.
 (b) assignments made from the furanoside spectra<sup>21</sup>.
 Free ligands assignments from ref 20-24. <sup>C</sup> these assignments may be reversed.

centres, and both pyranose forms of the ligands (in which the C-1 signals appeared at 95 ppm) could be excluded, because the C-1 of the complexes at  $\delta$  - 103 ppm (which are not bound to boron) would be abnormally deshielded by 7-8 ppm. The same conclusion held for the  $\beta$ -furances forms of both ligands, in which the C-1 signals were found at  $\approx$  96 ppm. In contrast, the  $\alpha$ -furanose forms possessed C-1 signals near 102 ppm, corresponding to  $\Delta\delta$  values  $\approx$  0 for the chelation. The corresponding variations of chemical shifts are given in Table 10. The most deshielded carbons, C-2 and C-3, bore a cig-diol group which was the likely chelating site of boron in complexes L<sub>1</sub> and M<sub>1</sub>.

Returning now to D-tagatose, the signals of complex T, were assigned by analogy with those of L, and H, (Table 8). The results were in agreement with a  $3,4-\alpha$ -furances complex.

Our assignments for complexes  $L_2$  and  $T_2$  were guided by the finding of an anomeric carbon signal at  $\delta$  = 111.1 ppm in the T<sub>2</sub> spectrum. It was the same range as for the complexed anomeric carbons of D-fructose and L-sorbose. Since the assumption of the ligand in pyranose form would imply a very strong and unusual deshielding of this carbon, the only reasonable

choice was to attribute this signal to the complexed anomeric carbon of a tagatofurance. The second complexed carbon could obviously not be the C-1 GH<sub>2</sub>OH group, which was not deshielded, and was thus C-3. Since the chelating  $\alpha$ -diol group must be <u>cis</u>, the ligand must be in  $\beta$ -furances form, in which the C-3 signal appears at 71.7 ppm. The corresponding  $\Delta\delta$  value was assumed to be  $\approx$  8 ppm, as for C-2. Thus the signal of complexed G-3 was expected at  $\delta \approx 80$  ppm and we assigned it at 78.0 ppm. This signal had been already attributed to the C-4 of T<sub>1</sub>, but was of higher intensity than the other T<sub>1</sub> signals.

It seemed then that, as in the case of D-ribose, two complexes could be formed by the sugars of this series. The  $T_1$ ,  $L_1$  and  $N_1$  complexes involved the anomeric hydroxyls of the  $\alpha$ -furanose ligands and the nearest ring CHOH. The  $T_2$  and  $L_2$  complexes were formed by the  $\beta$ -furanose ligands and did not involve the anomeric hydroxyls, which were <u>trans</u> to the nearest ring CHOH, but they complexed boron by the <u>cis</u>-diol group borne by the furanose ring.

Additional support to this conclusion was given by the  ${}^{1}J_{CH}$  value, 173 Hz, of the 103 ppm signal of the complexed D-lyxose, which was assumed to be the sum of the overlapping C-1 signals of both complexes. In L<sub>1</sub>, C-1 is a complexed carbon and would have a  ${}^{1}J_{CH}$  value > 175 Hz, as for D-ribose or D-xylose. In L<sub>2</sub>, C-1 is not complexed and would exhibit a value of 165-170 Hz, as in most free furances. We verified that the decoupled signal was indeed a broadened doublet, as expected if two signals with close  ${}^{1}J_{CH}$  values overlapped. The same phenomenon was observed in the case of D-mannose.

f) influence of the sugar configuration

It was shown<sup>27</sup> that in polyhydroxy compounds, <u>cis</u>- $\alpha$ -diol groups complexed borate more strongly than any other diol system. This study demonstrated that in all BL<sub>2</sub><sup>-</sup> complexes of sugars, borate was bound to two vicinal hydroxyls borne by a furanose ring, in agreement with the earlier result<sup>15</sup> that <u>cig</u>-1,2-cyclopentanediol formed more stable complexes than its C<sub>6</sub> homolog. This effect is so important that the sugars are forced into furanose form by complexation, though they mainly adopt the pyranose structure when uncomplexed. Such a behaviour contrasts with that of inositols<sup>26</sup>, which complex borate by axial hydroxyls borne by their cyclohexane rings.

Sugars in which the two ring CHOH groups are <u>trans</u> (series of xylose and arabinose) react with borate by their anomeric hydroxyl groups, which adopt the  $\alpha$  or  $\beta$  configuration forming a <u>cis</u>-diol system with the neighbour CHOH. Thus only one complex can be observed. On the other hand, sugars in which the two ring CHOH groups are <u>cis</u> (series of ribose and lyxose) can chelate borate in an additional way implying this diol system. In the latter case, it can be remarked that when the anomeric hydroxyl is <u>cis</u> to the nearest CHOH group (creating thus a <u>cis, cis</u>-triol system) borate only reacts with the  $\alpha$ -diol system involving the anomeric OH group. Accordingly, the complexes in which borate is bound to the <u>cis</u>-diol system formed by both ring CHOH groups always possess an anomeric hydroxyl <u>trans</u> to the nearest CHOH group. It illustrates the higher reactivity of the anomeric hydroxyl group compared to that of the CHOH ring groups.

## g) structure-stability relationships in borate complexes

The stability differences between the BL<sup>-</sup> complexes are generally small (Table 2) and appear to depend little on the sugar structures. On the contrary, the log  $\beta_2$  values show large variations, particularly in the pentose series, indicating that the stabilities of the 1:2 complexes are very sensitive to the ligand structures. Previous attempts<sup>10</sup> to relate the complex stabilities to the configurations of the pentoses cannot be further considered, first because most stability constants were of poor accuracy, and second because the pentoses were assumed to react in pyranose form. A representation of the various  $BL_2^-$  complexes, grouped in series having the same configuration, is given in Fig. 1. For symmetry reasons, D-sorbose and L-galactose were drawn instead of the actually studied enantiomers.

FIGURE 1 - Structures of the ligands in borate-sugar BL2 complexes



4480

An important result is that  $BL_2^-$  complexes are <u>not</u>, as often postulated, mixtures of interconverting species in which boron is bound to several  $\alpha$  or  $\beta$  diol groups of the ligand. Depending on the structure of the chelating sugar, only one or two 1:2 species could be identified in significant amounts. It nevertheless limits the possibilities of discussing the relationships between the complex stabilities and the ligand structure, since the potentiometric method cannot afford the individual constants relative to both species. However, the different 1:2 species were always formed in constant ratio (which is equivalent to a single species), allowing the determination of a mean stability constant for theapparent complexation equilibrium.

The relative stabilities of the complexes can be accounted for by considering the natures of the  $R_1$  and  $R_2$  substituents and their orientations with respect to the borate group. Among sugars of the same type ( pentoses, aldohexoses, ketoses ) the more stable complexes are those in which the borate ion is <u>trans</u> to both  $R_1$  and  $R_2$  groups (ribose and <u>xylo-gluco-sorbo</u> series). Complexes in which  $R_1$  and the borate ion are <u>cig</u> are weaker (<u>arabino</u> and <u>lyxo</u> series). The nature of the  $R_2$  substituent also has a clear effect, as shown by comparing the ketoses L-sorbose and D-fructose ( $R_2 = CH_2OH$ ) and the related pentoses, D-xylose and D-arabinose ( $R_2 = H$ ), which form complexes of lower stabilities. Thus a hydroxymethyl group borne by the anomeric carbon increases the complex stability when <u>trans</u> to the borate group.

The influence of the nature of the  $R_1$  group is probably the main reason for the large stability differences between the complexes of pentoses and ketoses ( $R_1 = CH_2OH$ ) and the much weaker complexes of aldohexoses ( $R_1 = CHOH-CH_2OH$ ). A possible interpretation would call upon some sort of steric hindrance by bulky  $R_1$  groups, but must be rejected since :

(i) examination of models revealed that the spiro  $BL_2^-$  complexes had "sandwich-like" structures in which the furanose rings were roughly parallel. However, the interval between the corresponding planes appeared too large to allow a steric repulsion between two  $R_1$  groups orientated <u>cis</u> to the borate moiety.

(ii) similar stability differences between complexes of pentoses and hexoses were found in the <u>xylo-gluco</u> series, in which  $R_1$  was <u>trans</u> to the borate molety, so that no steric interaction between both  $R_1$  groups could be expected.

Besides, the R<sub>1</sub> hydroxyl(s) could interact with the hydroxyls bound to borste when they happened to be in <u>cis</u> orientation. Such an effect was considered to have a destabilizing influence<sup>29</sup> in the case of uncomplexed furanoses. In contrast, the removal of the D-mannose C-6 hydroxyl to give its deoxy derivative, L-rhamnose, strongly decreased the corresponding stability constants, demonstrating that the presence of this non-bonding hydroxyl group could be favourable to complex formation.

In conclusion, the hypothesis<sup>10</sup> that borate complex stabilities were related to the number of possible chelating sites in the ligands was not verified, since some sugars which gave two complexes, i.e. D-lyxose and its series, formed weaker complexes than others, i.e. D-xylose and its series, which gave one complex only. More generally, the

interpretations based on the competitive complexations of  $\alpha$  and  $\beta$  dial groups and those considering the axial or equatorial configurations of the chelating hydroxyls in pyranose rings were not supported by our experimental results.

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4482